





**EXPRESS MAIL NO.:** EV 335 858 101 US

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: DING et al.

Confirmation No.: 4829

Application No.: 10/603,115

Art Unit: 3738

Filed: June 24, 2003

Examiner: To be assigned

DRUG COATING WITH TOPCOAT Attorney Docket No.: 10177-191-999

## SUPPLEMENTAL INFORMATION DISCLOSURE **STATEMENT UNDER 37 C.F.R. §1.56 AND §1.97**

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure imposed by 37 C.F.R. §1.56 and §1.97 to inform the Patent and Trademark Office of all references coming to the attention of each individual associated with the filing or prosecution of the subject application, which are or may be material to the patentability of any claim of the application, Attorneys for Applicants hereby direct the Examiner's attention to references FF-HR, which are listed on the attached revised PTO Form 1449. Copies of these references are submitted herewith.

Identification of the listed references is not to be construed an admission of Applicants or Attorneys for Applicants that such references are available as "prior art" against the subject application. Consequently, Applicants respectfully decline to use form PTO-1449, since this form identifies all of the references cited therein as "Prior Art." As an alternative, Applicants submit herewith several pages of a "revised form PTO 1449" entitled "List of References Cited by Applicant" instead of "List of Prior Art Cited."

Pursuant to 37 C.F.R. §1.97(b), since this Information Disclosure Statement is being filed before the mailing of a first Office Action on the merits, it is believe that no fee is due in connection herewith. However, should the Patent and Trademark Office determine otherwise, please charge the required fee to Pennie & Edmonds LLP Deposit Account No. .16-1150.

Applicants respectfully request that the Examiner review the foregoing references and that the references be made of record in the file history of the application.

Respectfully submitted,

Date: October 16, 2003

27,469

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#### LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)

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ATTY DOCKET NO.	APPLICATION NO	
10177-191	10/603,115	
APPLICANT		
Ding et al.		
FILING DATE	GROUP	
June 24, 2003	4829	

#### **U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	FF	6,120,536	09/19/00	Ding, et al.			
	FG	5,877,224	03/02/99	Brocchini, et al.			
	FH	5,837,313	11/17/98	Ding, et al.			
	FI	5,637,113	06/10/97	Tartaglia, et al			
	FJ	5,591,227	01/07/97	Dinh et al			
	FK	5,569,463	10/29/96	Helmus, et al.			
-	FL	5,523,092	06/04/96	Hanson, et al.			
	FM	5,512,055	04/30/96	Dohm, et al.			
	FN	5,474,563	12/12/95	Myler, et al.			
	FO	5,399,352	03/21/95	Hanson			
	FP	5,383,928	01/24/95	Scott, et al.			
	FQ	5,378,475	01/03/95	Smith, et al.			
	FR	5,282,823	02/01/94	Schwartz, et al			
	FS	5,222,971	06/29/93	Willard, et al			
	FT	5,102,417	04/07/92	Palmaz, et al			
:	FU	5,059,166	10/22/91	Fischell, et al		· · · · · · · · · · · · · · · · · · ·	
	FV	5,034,265	07/23/91	Hoffman, et al.			
	FW	5,019,096	05/28/91	Fox, et al.			
	FX	4,786,500	11/22/88	Wong			<del></del>
	FY	4,768,507	09/06/88	Fischell, et al.			
	FZ	4,739,762	04/26/88	Palmaz			<del></del>
	GA	4,689,046	08/25/87	Bokros			
	GB	4,687,482	08/18/87	Hanson			
	GC	4,656,083	04/07/87	Hoffman, et al.			······································
	GD	4,300,244	11/17/81	Bakros	<del>-  </del>		
	GE	3,952,334	04/27/76	Bokros, et al			
	GF	3,526,005	09/01/70	Bokros, et al.			<del></del>

### FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSL	ATION
 		1				YES	NO
GG	EP 0623354A1	04/20/94	EPO				

OTHER	REFERENCE	S (Including	Author Title	Date	Pertinent Pages	Fic )

GH	Alvarado, et al.	"Evaluation of Polym	er-Coated Balloor	Expandable	Stents in Bile Ducts	"Radiology	165 (suppl.):33
	1:(1987)			•			



OTHER REFERENCES	(Including Author, Title, Date,	Pertinent Pages, Etc.)

7	Ğİ	Bailey et al., "Polymer Coating of Palmaz-Schatz Stent Attenuates Vascular Spasm after stent placement." Circulation
	ĞJ	82:ILI-541 (1990)
		Binmoeller, et al., "Silicone-Covered Expandable Metallic Stents in the Esophagus: An Experimental Study" Endoscopy 1992; 24:416-20.
	GK	Biomaterials Science (B.D. Ratner, Ed.), Academic Press, New York, NY, pp. 228-38, 1996
	GL	Charnasangavej, et al., "A New Expandable Metallic Stent For Dilatation of Stenotic Tubular Structures:  Experimental and Clinical Evaluation" Houston Medical Journal, June 1987
	GM	Hanson, et al., "In vivo evaluation of artificial surfaces with a nonhuman primate model of arterial thrombosis, "J. La Clin. Med. 95:289-304; 1980
	GN	Kost, J. Langer R, "Controlled Release of Bioactive Agents," Trends in Biotechnology, Vol. 2, No. 2, 1984, pp. 47-5
	GO	Sigwart, et al., "Intravascular Stents to Prevent Occlusion and Restenosis After Transluminal Angioplasty," N. Engl. Med., Mar. 19, 1987, Vol. 316, No. 12, pp.701-06
·	GP	Sigwart, U., Frank, G.I. Coronary Stents. Springer - Verlag, 1992.
	GQ	Van Der Giessen, et al., "Self-expandable Mesh Stents: an Experimental Study Comparing Polymer Coated and Uncoated Wallstent Stents in the Coronary Circulation of Pigs" "Circulation 82:III-542 (1990)
	GR	Van Der Giessen, et al., "Coronary Stenting With Polymer-Coated And Uncoated Self-Expanding Endoprosthesis In Pigs" Coron. Art. Disease 1992; 3:631-40
	GS	Wu, et al., "Silicone-covered Seft-expanding Metallic Stents for the Palliation of Malignant Esophageal Obstruction and Esophagorespiratory Fistulas: Experience in 32 Patients and a Review of the Literature," Gastrointest. Endosc. 1994; 40:22-33
	GT	Complaint, Civil Action No. 03-283, Filed 3/13/03, U.S. District Court for the District of Delaware
	GU	Defendants' Answer, Counterclaim And Demand For Jury Trial, Civil Action No. 03-283, Filed 4/7/03, U.S. District Court for the District of Delaware
	G۷	Plaintiffs' Reply To Defendant's Counterclaim, Civil Action No. 03-283 Filed 4/21/03, U.S. District Court for the District of Delaware
	GW	Plaintiffs' Opening Brief In Support Of Their Motion For Preliminary Injunction, Civil Action No. 03-283 Filed 3/20/03, U.S. District Court for the District of Delaware
	GX —	Declaration Of Eric Simso, Civil Action No. 03-283, Dated 3/20/03, U.S. District Court for the District of Delaware
	GY	Declaration Of Jermone Segal, M.D., Civil Action No. 03-283 Dated 3/20/03, U.S. District Court for the District of Delaware
	GZ	Declaration Of Kinam Park, Ph.D., Civil Action No. 03-283 Dated 3/20/03, U.S. District Court for the District of Delaware
	HA	Answering Memorandum In Opposition To Plaintiffs' Motion For A Preliminary Injunction, Civil Action No. 03-283 Dated 4/3/03, U.S. District Court for the District of Delaware
	HB	Appendix To Answering Memorandum In Opposition To Plaintiffs' Motion For A Preliminary Injunction, Volume 1, Civil Action No.03-283 Dated 4/3/03, U.S. District Court for the District of Delaware
	HC	Appendix To Answering Memorandum In Opposition To Plaintiffs' Motion For A Preliminary Injunction, Volume 2, Civil Action No.03-283 Dated 4/3/03, U.S. District Court for the District of Delaware
	HD HE	Declaration Of Dr. Richard E. Kuntz, M.D. M.Sc., Civil Action No. 03-283 SLR, Dated 3/21/03, U.S. District Court for the District of Delaware
'	HF	Declaration Of Dr. Jeffrey W. Moses, M.D., Civil Action No. 03-283 SLR, Dated 3/31/03, U.S. District Court for the District of Delaware
	пг HG	Declaration Of Dr. Peter Fitzgerald, M.D., Ph.D., Civil Action No. 03-283 SLR, Dated 3/31/03, U.S. District Court for the District of Delaware  Declaration Of Brian G. Firth, Civil Action No. 03-283 SLR, Dated 3/31/03, U.S. District Court for the District of
	HH	Declaration Of Brian G. Firth, Civil Action No. 03-283 SLR, Dated 3/31/03, U.S. District Court for the District of Delaware  Declaration Of Dr. Paul S. Teirstein, M.D., F.A.C.C., Civil Action No. 03-283 SLR, Dated 3/28/03, U.S. District
	HI	Court for the District of Delaware  Declaration Of Dr. Martin B. Leon, M.D., Civil Action No. 03-283 SLR, Dated 3/31/03, U.S. District Court for the
	HJ	District of Delaware  Plaintiffs' Reply Brief In Support Of their Motion For Preliminary Injunction, Civil Action No. 03-283 SLR, Dated
	HK	4/10/03, U.S. District Court for the District of Delaware  Second Declaration Of Kinam Park, Ph.D., Civil Action No. 03-283 SLR, Dated 4/10/03, U.S. District Court for the
	HL	District of Delaware  Second Declaration Of Jerome Segal, M.D., Civil Action No. 03-283 SLR, Dated 4/10/03, U.S. District Court for the
	НМ	District of Delaware  Opening Expert Report Of Stephen R. Hanson, Ph.D., Civil Action No. 03-283 SLR, Dated 5/23/03, U.S. District  Opening Expert Report Of Stephen R. Hanson, Ph.D., Civil Action No. 03-283 SLR, Dated 5/23/03, U.S. District
	HN	Court for the District of Delaware
		Opening Expert Report Of Robson F. Storey, Ph.D., Civil Action No. 03-283 SLR, Dated 5/23/03, U.S. District Court for the District of Delaware
	но	Rebuttal Expert Report Of Kiman Park. Ph.D., Civil Action No.03-283 SLR, Dated 6/2/03, U.S. District Court for the

16 2000 8	Express Mail Certificate No. EV 335 858 101 US Sheet 3 OI 3
ME THERE	OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)
	District of Delaware
н	Preliminary Injunction Hearing Transcript, Volume A, Civil Action No. 03-27 (SLR), Dated 7/21/03, U.S. District Court for the District of Delaware
HO	Preliminary Injunction Hearing Transcript, Volume B, Civil Action No. 03-27 (SLR), Dated 7/22/03, U.S. District Court for the District of Delaware
H	Preliminary Injunction Hearing Transcript, Volume C, Civil Action No. 03-27 (SLR), Dated 7/23/03, U.S. District Court for the District of Delaware

EXAMINER	DATE CONSIDERED

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.